



030204

18351 U.S. PTO

PATENT

81864.0030

Express Mail Label No. EV 325 214 994 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification
of this Application:☐ Class _ ☐ Subclass _

Prior Application

Examiner: William CHOI

Art Unit: 2873

DIVISIONAL APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

22141 U.S. PTO
10/791598

030204

Dear Sir:

This is a request for filing a ☐ continuation ☒ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 10/328,966 filed December 24, 2002, by Tamotsu SUGAWARA, Atsushi OHIDO, and Kazuhito YAMASAWA (inventor(s) currently of record in prior application) for HARD MAGNETIC GARNET MATERIAL, FARADAY ROTATOR, OPTICAL DEVICE, OPTICAL COMMUNICATION SYSTEM, METHOD OF MANUFACTURING FARADAY ROTATOR AND METHOD OF MANUFACTURING BISMUTH-SUBSTITUTED RARE EARTH IRON GARNET SINGLE CRYSTAL.

1. ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
2. ☒ The filing fee is calculated below:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	18	-20	0	LG=\$18 SM=\$9	\$18	\$ 0	
B	INDEPENDENT CLAIMS FEE*	7	-3	4	LG=\$86 SM=\$43	\$86	344.00	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 344.00
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 770.00
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 1114.00
*LIST INDEPENDENT CLAIMS 9, 19, 25-28, and 30								

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 50-1314. A copy of this sheet is enclosed.
4. ☒ A check in the amount of \$ 1,114.00 is enclosed.
5. ☒ Cancel in this application original claim(s) 1-8, 15-18, and 24 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☒ Amend the specification by inserting before the first line the sentence:
- This is a ☐ continuation ☒ divisional of application Serial No. 10/328,966 filed December 24, 2002, which application is hereby incorporated by reference in its entirety.
- 7a. ☒ New formal drawings are enclosed.
- 7b. ☒ Priority of application Serial No(s).:
- Japanese application Serial No. 2001-392630 filed December 25, 2001,
Japanese application Serial No. 2001-399276 filed December 28, 2001 and
Japanese application Serial No. 2002-0386211 filed February 15, 2002 is
claimed under 35 U.S.C. § 119.
- 7c. ☒ The certified copy of the priority applications were filed in prior application Serial No. 10/328,966, filed December 24, 2002.
8. ☒ The prior application is assigned of record to: TDK Corporation.
9. ☒ The power of attorney in the prior application is as listed on the attached copy of the declaration filed in the parent application.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

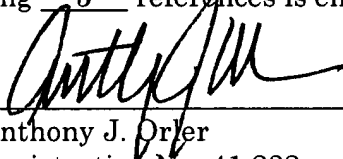
- c. ☒ Address all future communications to:

Anthony J. Orler
HOGAN & HARTSON L.L.P.
Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701

☒ Customer Number 000026021 →

- d. ☐ A new Associate Power of Attorney is enclosed.
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Applicant no longer claims small entity status.
12. ☒ An Information Disclosure Statement listing 5 references is enclosed.

Date: March 2, 2004



Anthony J. Orler
Registration No. 41,232

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SUGAWARA, et al.

Serial No: Not Assigned; Parent 10/328,966

Filed: March 2, 2004

For: Hard Magnetic Garnet Material, Faraday Rotator, Optical
Device, Optical Communication System...

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 325 214 994 US

Date of Deposit: March 2, 2004

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 1,114.00 as filing fee
- ☒ patent application (78 page(s) of specification; 18 claim(s); 1 page(s) of abstract
- ☒ 14 sheet(s) of formal drawings
- ☒ copy of executed Declaration and Power of Attorney
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement listing 5 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Date: March 2, 2004

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Reynaldo Gallardo

Name of person mailing papers

Signature